

Self Assessment Questionnaire

Name of company:
Name of company contact:
Name of NEXtCARE Contact Person:
Date:

A. Company information

1. In which country is
 - a) the company or
 - b) the potential contractual party (if different from the company) registered?.....

2. Is your company or any company in the chain of command up to your ultimate beneficiary owner listed at a stock exchange?
If yes, at which stock exchange(s)?
 - NYSE
 - German Stock Exchange
 - Other (please specify):

3. Does your company have:
 - a) an Anti-Corruption Policy
 - b) other anti-corruption standards as part of a global anti-corruption program in place (e.g., gifts & entertainment guidelines)?If yes, please attach details or indicate where relevant information can be found on your homepage.
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4. Have there been charges/fines within the last 36 months for any violation of any bribery and corruption law, or are there current investigations by a law enforcement/custom agency/tax authority or any central/regional/local government authority for potential violation of any bribery and corruption laws for the following:
 - a) your company
 - b) any company in the chain of command up to your ultimate beneficiary owner
 - c) any of a)'s or b)'s officers, directors or managing partners (as part of their duties in relation to the company)

If yes, please provide details of the case(s) and subsequent mitigation efforts in a transparent manner.....
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Are the cases closed?

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B. Interaction with Government Officials

Definition Government Official:

Government Official includes anyone working in an official capacity for or on behalf of government-owned or controlled entities or agencies, political parties, party officials, and political candidates, or for a public international organization with government members (for example, World Bank). This may include consultants who hold government positions, employees of companies owned or controlled by governments, political party officials and others, or employees retained by government agencies. This term also covers local and foreign government officials and immediate family members (parent, spouse, child, in-law, sibling), and anyone else to whom the Government Official provides material support.

5. Will your company as part of the performance of the upcoming contract with NEXtCARE be in direct contact with a Government Official, e.g., to obtain licenses? If yes, please provide details.

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6. Is any of your company's officers, directors, managing partners or any directly involved employees a Government Official himself? If yes, please provide details

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C. Subcontractors/Consortia

7. Do you plan to use subcontractors? If yes, please confirm that you do not have any doubts on the subcontractor's integrity

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For the potential agreement with NEXtCARE, do you act as the representative of a consortium? If yes, please confirm that you do not have any doubts on the integrity of the members of the consortium.

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